

# **Formal and distributional semantics model different notions of meaning**

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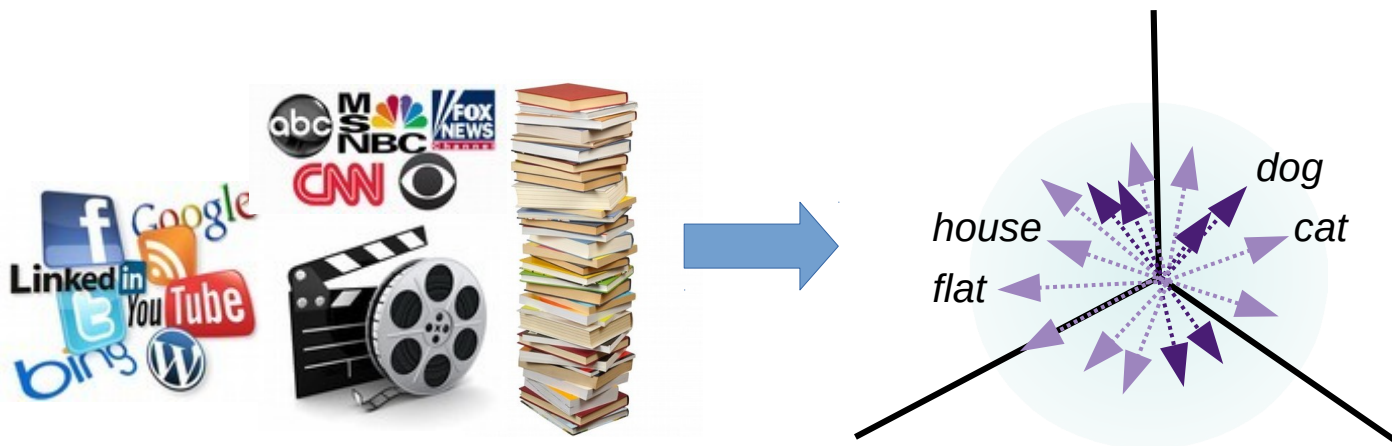
# Our starting point

- Speakers somehow use linguistic expressions to convey their communicative intentions (**speaker meaning**).
- How? Part of the standard answer: linguistic expressions (as *types*) have **meanings** in their own right.
- This auxiliary notion of **expression meaning** should:
  - 1) provide an **adequate starting point** for explaining how a speaker in a context uses the expression;
  - 2) be **derivative** of the expression's (past) usage in the relevant linguistic community.

# Distributional semantics

(e.g., Harris 1954; Firth 1957; Turney and Pantel 2010, ...)

- Expressions are assigned numerical, high-D vectors,
- Obtained through abstraction over distributions in a dataset.



- Two views (e.g., Lenci '08):
  - **‘Weak’**: What DS models *correlates* in certain ways with expression meaning.
  - **‘Strong’**: What DS models *is* expression meaning.

# Could DS model expression meaning?

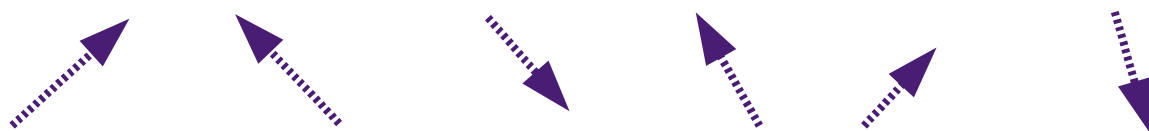
This auxiliary notion of **expression meaning** should:

- Recall:
    - 1) provide an **adequate starting point** for explaining how a speaker in a context uses the expression;
    - 2) be **derivative** of the expression's (past) usage in the relevant linguistic community.
  - DS immediately satisfies (2).
  - But it doesn't seem *sufficient* for (1): (e.g., Boleda & Herbelot '16)
    - it cannot *really* do truth conditions,
    - entailment (e.g., Beltagy et al. 2013)
    - reference,
    - compositionality (cf. Baroni & Zamparelli 2010 a.o.)...
- basically what *formal* semantics is good at...

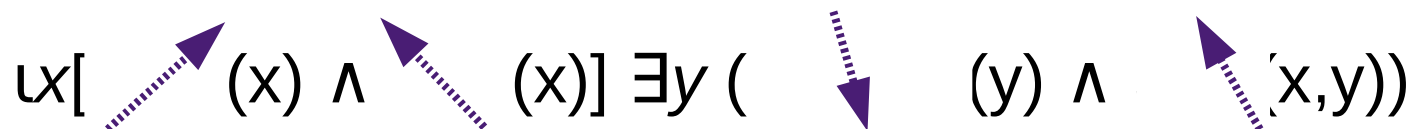
# Formal vs. distributional semantics

*The red cat sees a mouse.*

**DS:**



**FS:**



Apparent complementary strengths (e.g., Boleda & Herbelot '16):

- Distributional semantics: 'conceptual' aspects
- Formal semantics: 'logical' aspects

Which suggests a possible integration (e.g., Beltagy et al. '13, Erk '13, McNally '16)...

# Our proposal

- FS and DS are *not* complementary models of the same notion of meaning.
- Plausibly, FS has inadvertently modeled *speaker meaning*.
  - And truth, reference, compositionality, etc. may belong with speaker meaning, not expression meaning.
- This takes a burden off DS, enabling the ‘strong’ view.

## Proposal:

**Distributional semantics:** expression meaning

**Formal semantics:** speaker meaning

# FS as a model of speaker meaning?!

Several reasons for assuming this:

- Centrality of ‘semantic intuitions’ as evidence:
  - These are about *stereotypical speaker meaning* (e.g., Strawson ‘50, Grice ‘75, Schwarz ‘96, Bach ‘02, increasingly in X-prag).
- Natural language is notoriously vague (Wittgenstein ‘53); *single* uses are more amenable to formal modeling.
  - E.g., failure of sense enumeration (Erk ‘10); vagueness of lexical/logical distinction (Abrusan et al. ‘18).
- Confusion about the semantics/pragmatics divide (Bach ‘97):
  - e.g., ‘sentence meaning is necessarily part of speaker meaning’.

# A closer look at DS

And after that:

- Integrating FS and DS.



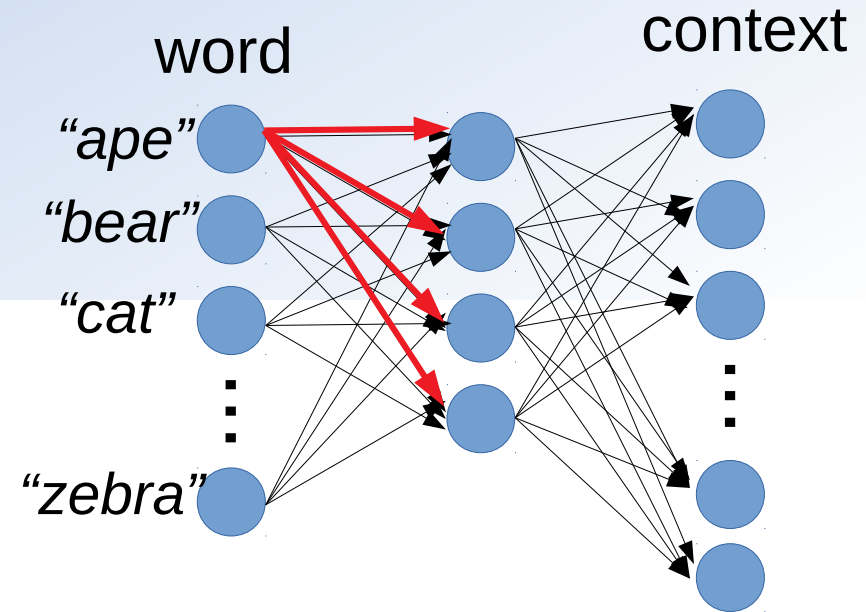
# A closer look at DS



Two main types of DS (for comparison see Baroni et al. '14):

- **Count-based:**
  - create a huge table of word-occurrence-per-context
  - obtain abstraction by dimensionality reduction.
- **Prediction-based:**
  - train a neural network to predict the use of each word;
  - it will learn abstract representations of words.

# Prediction-based DS



- Two main possible tasks:
  - Given a word, predict its context (e.g., Collobert & Weston '08).
  - Given a context, predict a word (e.g., Mikolov, Yih, & Zweig '13).
- Contexts could be:
  - Sentences; neighboring words; syntax trees.
  - Image + caption (+ referents); movies + subtitles.
  - ...
- Extremely successful in NLP (“word embeddings”).



... as those made by mice and other small animals. They can see in near  
 e being solitary hunters, are a **social species**, and **cat communication** in  
 nes and types of **cat-specific body language**.<sup>[8]</sup>

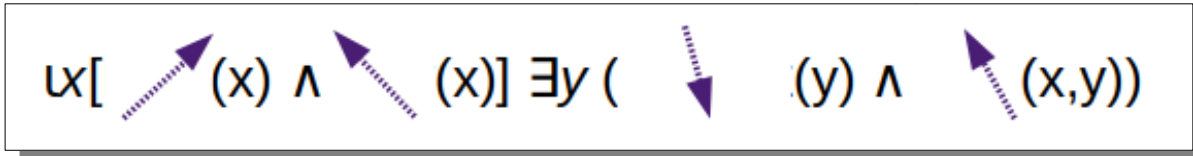
egistered pedigree pets, a hobby known as **cat fancy**. Failure to control  
 large numbers of **feral cats** worldwide, requiring **population control**.<sup>[10]</sup>  
 nction of many bird species. **Cats** have been known to **extirpate** a bird sp  
 to be primarily responsible for the extinction of 87 species of birds,<sup>[12]</sup> a  
 eintroduction.<sup>[13]</sup>

domesticated there,<sup>[14]</sup> but there may have been instances of domesticat  
 domestic **cats** descended from the **Near Eastern wildcat** and diverged a  
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 by farmers in the Near East around 9,000 years ago.<sup>[20][21]</sup>

after **freshwater fish**.<sup>[22]</sup> In a 2010 study, they were ranked the third-m

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- Recall:



But this isn't quite right:

- The DS vector for “cat” wouldn't model **the concept CAT**;
- But **the concept of the word “cat”**. (Uncontroversial.)

With this interpretation, the ‘strong’ view on DS is:

The meaning of an expression is its concept.

# Let's assess: the 'strong' view of DS

This auxiliary notion of **expression meaning** should:

- 1) provide an **adequate starting point** for explaining how a speaker in a context uses the expression;
- 2) be **derivative** of the expression's (past) usage in the relevant linguistic community.

DS as a model of expression meaning ('strong' view):

- **Adequate starting point?**
  - Possibly, provided truth, reference etc. belong with speaker meaning.
  - Plausibly: where else to start if not the expression's concept?
  - **YES!** according to NLP.
- **Derivative of use?**
  - Yes, through general-purpose abstraction/learning.

The final part:

*towards* **Integrating DS and FS**

# The resulting picture

as those made by mice and other small animals. They can see in near e being solitary hunters, are a social species, and cat communication in nes and types of cat-specific body language.<sup>[8]</sup>

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*The red cat sees a mouse.*


**DS:**

(expression meaning)

(speaker meaning)

**FS:**  $\exists x [RED(x) \wedge CAT(x)] \exists y (MOUSE(y) \wedge SEE(x,y))$

**Two questions (of many):**

- How to get from  to CAT?
- Where is compositionality?



# How to get from to CAT?

A Gricean pragmatic perspective (Grice, '67):

- **Quality, Relevance, Quantity:**  
speaker meaning ↔ speaker's goals and beliefs.
- **Manner:** speaker meaning ↔ expression meaning:

An attempt at Manner (cf. Relevance theory, Recanati '04):

- “Activate the word concepts; then, from each, keep ‘associating’ to the first concepts whose composition results in the content of a possibly cooperative speech act.”

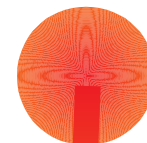
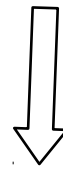


# Where does composition happen?

- The foregoing attempt at Manner:
  - ...when the right concepts have been found.  
(cf. Borge '09: speaker meaning)
- But the boundary may not be so clear:
  - Red cats are actually *orange*.
  - When does this *modulation* take place?

(e.g. Erk & Padó '08, Aina '18, for DS approaches).

“red (cat)”





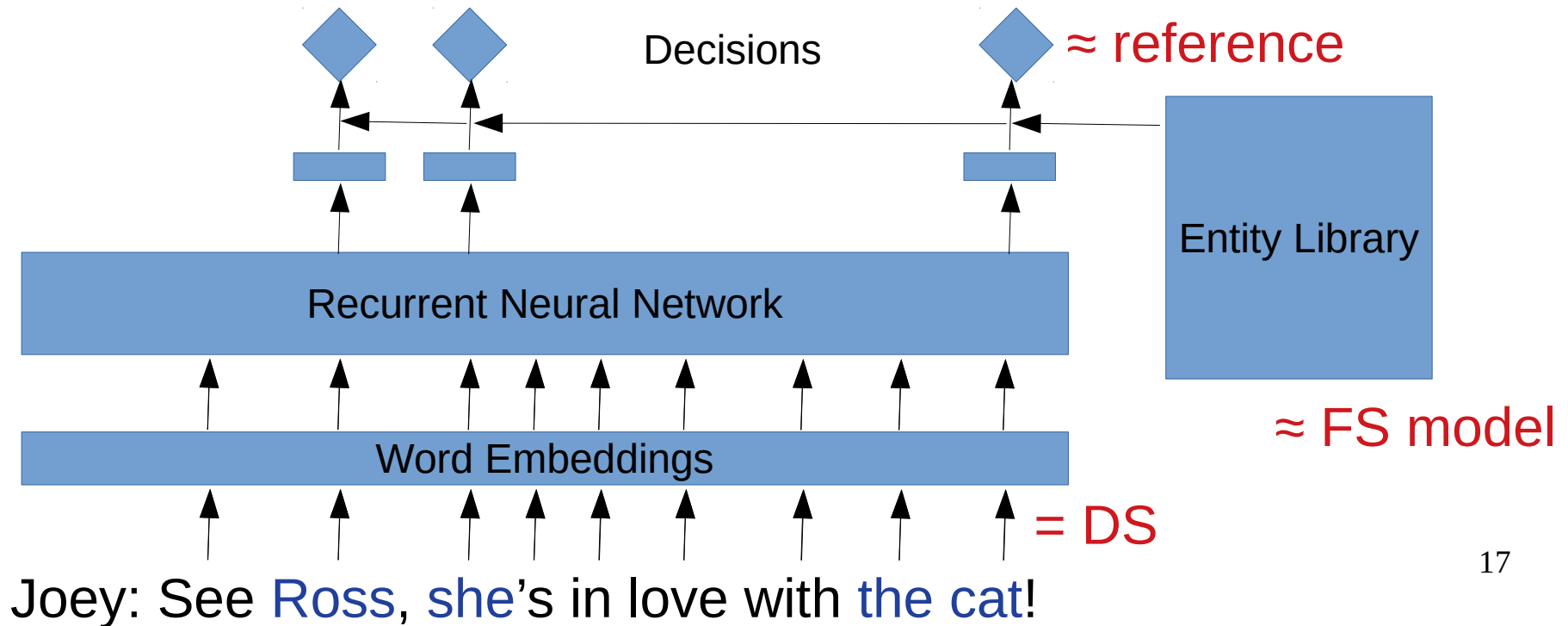
# Not just a theory

(Example from Aina et al.)

- Reference resolution
- Model (simplified)

**Two questions (again):**

- How to get from  to CAT?
- Where is compositionality?



# Conclusion

## Proposal:

**Distributional semantics:** expression meaning  
**Formal semantics:** speaker meaning

Gives a new outlook on their integration:

- *Not* 'complementary' models of a single notion;
- but two **very different explanatory roles** in a theory.
- Linked by 'association and composition in context'  
(Griceans: *Manner*; NLPers: *deep neural networks*).

**We think this integration is vital to the field.**

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